

**Application for Federal Assistance SF-424**

Version 02

**\* 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

**\* 2. Type of Application:**

- New
- Continuation
- Revision

\* if Revision, select appropriate letter(s):

\* Other (Specify)

**\* 3. Date Received:**

Completed by Grants.gov upon submission.

**4. Applicant Identifier:**

**5a. Federal Entity Identifier:**

**\* 5b. Federal Award Identifier:**

**State Use Only:**

**6. Date Received by State:**

**7. State Application Identifier:**

**8. APPLICANT INFORMATION:**

\* a. Legal Name:

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

\* c. Organizational DUNS:

**d. Address:**

\* Street1:

Street2:

\* City:

County:

\* State:

Province:

\* Country:

\* Zip / Postal Code:

**e. Organizational Unit:**

Department Name:

Division Name:

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

\* First Name:

Middle Name:

\* Last Name:

Suffix:

Title:

Organizational Affiliation:

\* Telephone Number:

Fax Number:

\* Email:

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**9. Type of Applicant 1: Select Applicant Type:**

c. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

Consolidated Municipality (City & County)

**\* 10. Name of Federal Agency:**

Environmental Protection Agency

**11. Catalog of Federal Domestic Assistance Number:**

66.818

CFDA Title:

Brownfields Assessment (New Project)

**\* 12. Funding Opportunity Number:**

14-45

\* Title:

Brownfields Assessment (New Project)

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

City of Carson City (consolidated municipality--city & county)

**\* 15. Descriptive Title of Applicant's Project:**

Community-wide hazardous substances grant funds will be used to conduct at least 16 Phase I and 5 Phase II environmental site assessments. Grant funds also will be used to conduct cleanup planning and support community outreach activities. Petroleum grant 

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

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**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="\$400,000.00"/>
* b. Applicant	<input type="text" value="\$0.00"/>
* c. State	<input type="text" value="\$0.00"/>
* d. Local	<input type="text" value="\$0.00"/>
* e. Other	<input type="text" value="\$0.00"/>
* f. Program Income	<input type="text" value="\$0.00"/>
* g. TOTAL	<input type="text" value="\$400,000.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

Yes  No

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

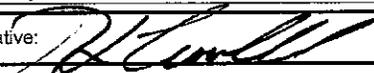
**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

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**\* Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

[Empty text input area for Applicant Federal Debt Delinquency Explanation]

**BUDGET INFORMATION - Non-Construction Programs**

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Brownfields A	66.818	\$	\$	\$ 200,000	\$ 0	\$ 200,000
2. Brownfields A	66.818			200,000		200,000
3.						
4.						
5. Totals		\$	\$	\$ 400,000	\$ 0	\$ 400,000

SECTION B - BUDGET CATEGORIES						
Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY					Total (5)
	(1)	(2)	(3)	(4)	(5)	
a. Personnel			15,000		0	15,000
b. Fringe Benefits			0		0	0
c. Travel			10,000		0	10,000
d. Equipment			0		0	0
e. Supplies			4,000		0	4,000
f. Contractual			371,000		0	371,000
g. Construction			0		0	0
h. Other			0		0	0
i. Total Direct Charges (sum of 6a-6h)			400,000		0	400,000
j. Indirect Charges			0		0	0
k. TOTALS (sum of 6i and 6j)	\$	\$	\$ 400,000	\$	\$ 0	\$ 400,000
7. Program Income	\$	\$	\$ 0	\$ 0	\$ 0	\$ 0

**SECTION C - NON-FEDERAL RESOURCES**

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Brownfields Assessment-Hazardous Materials	0	0	0	\$0
9. Brownfields Assessment-Petroleum Products	0	0	0	\$0
10.				\$
11.				\$
12. Total (SUM OF LINES 8-11)	0	0	0	\$0

**SECTION D - FORECASTED CASH NEEDS**

	Total for 1 <sup>st</sup> Year	FUTURE FUNDING PERIODS (years)			
		1 <sup>st</sup> Quarter	2 <sup>nd</sup> Quarter	3 <sup>rd</sup> Quarter	4 <sup>th</sup> Quarter
13. Federal	\$66,666	\$16,666	\$16,666	\$16,666	\$16,666
14. Non-Federal	0	0	0	0	0
15. TOTAL (sum of lines 13 and 14)	\$66,666	\$16,666	\$16,666	\$16,666	\$16,666

**SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT**

(a) Grant Program	FUTURE FUNDING PERIODS (years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. Brownfields Assessment-Hazardous Materials	\$ 66,666	\$ 66,666	\$ 0	\$ 0
17. Brownfields Assessment-Petroleum Products	66,666	66,666	0	0
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 133,333	\$ 133,333	\$ 0	\$ 0

**SECTION F - OTHER BUDGET INFORMATION**

21. Direct Charges:	
22. Indirect Charges:	
23. Remarks:	

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The detail for each object class category must be provided. Formats may vary, but all information below should be included in your application.

**a. PERSONNEL**

POSITION	NUMBER	SALARY	WORK YEARS	AMOUNT
consultant	1	\$15,000	Incl. in RFP	\$15,000
<b>a. Personnel Total</b>				

**b. FRINGE BENEFITS**

BASE	
RATE	%
<b>b. FRINGE BENEFITS TOTAL</b>	

**c. TRAVEL** – List trips planned, destination, dates, and the amounts per trip. Please separate local travel and out-of-state travel.

TRAVEL EXPENSES	AMOUNT
Local Travel to sites-consultant (part of consultant cost)	\$10,000
Explain: _____ _____ _____ _____	
<b>c. TRAVEL TOTAL:</b>	<b>\$10,000</b>

**d. EQUIPMENT:** Tangible, non-expendable, personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. Applicant's definition of equipment may be used provided the definition at least includes all items previously defined above.

ITEM	NUMBER	COST PER UNIT	TOTAL
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d. EQUIPMENT TOTAL			

e. SUPPLIES

ITEM	NUMBER	COST PER UNIT	TOTAL
Supplies for community outreach			\$ 4,000
e. SUPPLIES TOTAL			\$ 4,000

f. CONTRACTUAL [List each planned contract separately, type of service to be procured, proposed procurement method (i.e. small purchase, sealed bids, competitive proposals) and the estimated cost]

ITEM	PROCUREMENT METHOD	TOTAL
Consultant	RFP - Competitive proposal	\$ 371,000
f. CONTRACTUAL TOTAL		\$ 371,000

g. CONSTRUCTION (N/A)

h. OTHER

ITEM	NUMBER	COST PER UNIT	TOTAL

<b>h. OTHER TOTAL</b>			

<b>i. TOTAL DIRECT COSTS</b>	400,000 \$
<b>j. INDIRECT COSTS</b>	(BASE \$ _____ x RATE ____% = INDIRECT COSTS)
<b>k. TOTAL PROPOSED COSTS</b>	400,000 \$
<b>FEDERAL FUNDS REQUESTED</b>	400,000 \$
<b>RECIPIENT SHARE (MATCH)</b>	0 \$
<b>RECIPIENT SHARE OF TOTAL PROPOSED COSTS</b>	0 %
<b>FEDERAL SHARE OF TOTAL PROPOSED COSTS</b>	100 %



## KEY CONTACTS FORM

**Authorized Representative:** *Original awards and amendments will be sent to this individual for review and acceptance, unless otherwise indicated.*

Name: Robert L. Crowell c/o Heidi Eskew-Herrmann  
 Title: Mayor  
 Complete Address: 201 N. Carson St. #2  
 Carson City, NV 89701  
 Phone Number: 775-887-2100

**Payee:** *Individual authorized to accept payments.*

Name: Beth Huck  
 Title: Deputy Treasurer  
 Mail Address: 201 N. Carson St. #5  
 Carson City, NV 89701  
 Phone Number: 775-887-2000 X 30433

**Administrative Contact:** *Individual from Sponsored Program Office to contact concerning administrative matters (i.e., indirect cost rate computation, rebudgeting requests etc.)*

Name: Heidi Eskew-Herrmann  
 Title: Grants Coordinator  
 Mailing Address: 201 N. Carson St. #2  
 Carson City, NV 89701  
 Phone Number: 775-887-2000 X 30068  
 FAX Number: 775-887-2286  
 E-Mail Address: HEskew-Herrmann@ci.carson-city.nv.us

**Principal Investigator:** *Individual responsible for the technical completion of the proposed work.*

Name: Heidi Eskew-Herrmann  
 Title: Grants Coordinator  
 Mailing Address: 201 N. Carson St. #2  
 Carson City, NV 89701  
 Phone Number: 775-887-2000 X 30068  
 FAX Number: 775-887-2286  
 E-Mail Address: HEskew-Herrmann@ci.carson-city.nv.us  
 Web URL: www.carson-city.nv.us (Carson City website)

**APPLICATION FOR FEDERAL ASSISTANCE**

Date: 6/1/2009

R9 Tracking #: 09-429

Name/Address of Applicant:

Heidi Eskew-Herrmann, AICP, Grants Coordinator  
Carson City Consolidated Municipality  
201 N. Carson Street #2  
Carson City, NV 89701

*Please change the contact information to the highlighted text.*

The Environmental Protection Agency (EPA), Region 9, is pleased to announce the availability of financial assistance as follows:

**APPLICATION DUE DATE:** JULY 10, 2009  
**PROGRAM TITLE:** Brownfields Assessment (NEW PROJECT)  
**STATUTORY AUTHORITY:** CERCLA 104(k)(2)  
**FEDERAL FUNDS AVAILABLE:** \$200,000 (Hazardous Substances)  
\$200,000 (Petroleum)  
**REQUIRED NON-FEDERAL MATCH:** None  
**CATALOG OF FEDERAL DOMESTIC ASSISTANCE NO.:** 66.818  
**DELEGATION OF AUTHORITY (EPA use only):** 14-45  
**WAS THIS PROPOSAL SUBJECT TO COMPETITION? (EPA use only) Yes  No**

The application kit (including instructions) for **GRANT AWARDS** is available through the internet at <http://www.epa.gov/region09/funding/applying.html>. If you require a hard copy of the application kit, please contact the Grant Assistant at 415-972-3702. Please check that the "R9 Tracking #" (located in the upper-right hand corner of this guidance letter) is reflected on the upper-right hand corner of the application (SF-424). The **original and one copy of your application and FINAL workplan** must be submitted to the address below:

Grants Management Office, MTS-7  
Management & Technical Services Division  
U.S. EPA, Region 9  
75 Hawthorne Street  
San Francisco, CA 94105

Please make sure you have a copy of the Code of Federal Regulations (CFR), Title 40, Parts 1-49, and the Office of Management and Budget (OMB) Circulars, which are applicable to your grant or cooperative agreement, at your local U.S. Government Bookstore, or from the U.S. Government Printing Office at (202) 512-1800, or through the internet at <http://www.epa.gov/region9/funding>

Materials and attachments are provided with this letter to help you with the application process. Questions regarding the application or administrative/fiscal matters should be referred to your Grants Specialist, Veronica Adams, of the Grants Management Office, at (415) 972-3677. Please call me at (415) 972-3092 regarding programmatic questions (e.g. development of work plan and community notification).

Sincerely,

Carolyn J. Douglas  
EPA Project Officer

cc: Veronica Adams, EPA Grants Specialist, MTS-7

**Brownfields Assessment Grant**  
**Tracking Number: 09-429**  
**Carson City, Nevada**  
**Workplan Outline**

**A. Recipient Title**

Carson City, Nevada  
Project Contact: Heidi Eskew-Herrmann, AICP, Grants Coordinator  
201 N. Carson Street #2  
Carson City, NV 89701  
Phone: (775) 887-2000 X 30068  
Fax: (775) 887-2286  
Email: [heskew-herrmann@ci.carson-city.nv.us](mailto:heskew-herrmann@ci.carson-city.nv.us)

**B. Background**

Carson City, Nevada's Capital, is one of the oldest cities in Nevada. Founded in 1858, the city was settled in part because of gold and silver mining as well as timber extraction operations. Historical uses—mining, lumber mills and railroads—have left hazardous material contaminants in the older sections of the City along with petroleum contaminants related to uses along the two major highways which cross the City. Contaminants of concern include: petroleum, asbestos, caustics, chlorides, chlorinated solvents, cyanide, metals, pesticides, polychlorinated biphenyls, polynuclear aromatic hydrocarbons, radioactive minerals, and sulfides. Many of these toxins are also carcinogens.

The United States Environmental Protection Agency (U.S. EPA) has awarded Carson City a Brownfields Assessment Grant for properties throughout the Downtown Redevelopment area. The grant includes \$200,000 for the assessment of sites suspected of contamination by petroleum substances and \$200,000 for the sites suspected of contamination by non-petroleum hazardous substances.

EPA Envirofacts database lists 272 regulated industrial and commercial facilities in Carson City that use, store, and/or generate hazardous material. Of these, 33 have documented releases of hazardous materials into the environment. These toxic material releases to the air, ground, and water include caustics, chlorinated solvents, metals, volatile organic and aromatic compounds, preservatives, fungicides, insecticides, and fumigants. Over the past five years, there have been 162 reported incidents at the regulated facilities resulting in 53 notices of violation. In addition, there have been 14 reported spill incidents involving caustics, metals, petroleum products, and unknown substances. Since 1990, the Nevada Division of Environmental Protection has documented 235 petroleum releases related to underground storage tanks. Thirteen of these releases remain active for investigation and cleanup activities. All of the aforementioned sites along with the adjacent properties are potential brownfields.

Carson City and the State of Nevada are working with the U.S. Federal Highway Administration on a major project to re-route highway 395 so that it by-passes the central portion of Carson City. This creates a major opportunity for re-use in the redevelopment area since Carson Street (the current alignment of highway 395 and the redevelopment area's main arterial) can be redeveloped into a more pedestrian friendly environment with a mix of office, retail and residential uses after the by-pass is complete. EPA Assessment funding will enable the City to work with property owners and provide a timely incentive to clean-up and re-use the older highway commercial properties to enhance the progress of key catalyst sites.

### **C. Goals and Objectives**

#### **a. EPA Strategic Plan**

This project supports EPA's Strategic Plan and GPRA Goal 4: Healthy Communities and Ecosystems, Objective 4.2 Communities – Sustain, Clean Up, and Restore Communities and the Ecological Systems that Support Them, Sub-objective 4.2.3 Assess, Clean Up and Redevelop Brownfields.

Outputs: final list of assessment sites, work plan deliverables from the consultant, property profile forms.

Outcomes: number of assessments, inventory of brownfields, the number of acres that will be ready for reuse, dollars leveraged, and number of jobs created.

#### **b. Project Goals**

1. Identify Brownfield sites throughout the populated Redevelopment area of Downtown Carson City.
2. Promote new land and transportation patterns which will promote clean air.
3. Support the City's ENVISION Master Plan which promotes quality of life for all.
4. Through disclosure and education, protect the public and workers from exposure to harmful substances.
5. Promote revitalization and reinvestment along Carson Street in the Redevelopment areas of Carson City.
6. Concentrate new development in the Downtown thereby re-using infrastructure and reducing sprawl.
7. Reuse sites to support sustainable development.

#### **c. Project Objectives**

1. Hazardous Substance Component (\$200,000)
  - a. Site Inventory and Site Prioritization
  - b. Conduct Phase I Environmental Site Assessments
  - c. Preparation of Health and Safety Plan and the Quality Assurance Project Plan
  - d. Conduct Phase II Environmental Site Assessments
  - e. Site Remediation Program Enrollment and Site Investigation Report
  - f. Reuse Study

- g. Community Outreach
- h. Grant Administration

2. Petroleum Component (\$200,000)

- a. Site Inventory and Site Prioritization
- b. Conduct Phase I Environmental Site Assessments
- c. Preparation of Health and Safety Plan and the Quality Assurance Project Plan
- d. Conduct Phase II Environmental Site Assessments
- e. Site Remediation Program Enrollment and Site Investigation Report
- f. Reuse Study
- g. Community Outreach
- h. Grant Administration

**D. Tasks**

**Task 1 - Project Management and Planning**

**a. Task Description**

In preparation for this grant, Carson City completed a comprehensive inventory and ranking of its potential Brownfields sites in the Downtown Redevelopment Area and surrounding areas in October 2008. A preliminary screening was conducted to identify sites which have the greatest potential to move beyond the assessment phase and into the project redevelopment phase.

Prior to conducting the final site inventory and site prioritization, Carson City will hire an environmental consultant. The City will post a public notice of the intent to hire a consultant for the work to be conducted under the Brownfield Assessment Grant. The City will then enter into a contract for consulting services.

Carson City will provide ongoing oversight, management and reporting of all activities performed under the EPA Brownfields grant. In addition, under each grant, the City will prepare a total of 12 Quarterly Reports (4 per year for the 3 year project period); Property Profile Forms (PPFs) for the 16 sites that will receive Phase I ESAs and updated PPFs for the 5 sites that will receive Phase II ESAs; two annual programmatic and financial status report documents; and, one project Close-out Report.

For each grant, Carson City will supplement EPA funding as in-kind services to provide project oversight, management and direction, participation in Phase I and Phase II activities and cleanup and reuse planning. Carson City will expand and add new data fields in its GIS-system to track and monitor by parcel, key environmental, and programmatic outcomes, including the total acres of land assessed and remediated, short-term construction and permanent job creation, the type of new use, and property and sales tax revenues as a result of the new use. This will assist EPA to monitor their strategic plan objectives and progress and Brownfields accomplishments.

## **b. Task Budget**

### Hazardous Materials Assessment

Total Cost: \$12,500

Brownfields Grant: \$12,500

### Petroleum Assessment

Total Cost: \$12,500

Brownfields Grant: \$12,500

## **c. Schedule**

Task Start Date: 07/30/09      Task Completion Date: Planning: 10/31/09. Project Management will continue throughout the grant period.

## **d. Deliverables**

- RFP/ RFQ or other contractor selection documents
- Letter/report describing environmental insurance options and proposed/selected product
- Letter/report describing project regulatory oversight approach, applicable oversight fees for the project, and points of contact for the regulatory agency.

## **Task 2 - Community Outreach**

### **a. Task Description**

Carson City will use mailings, visioning workshops and community meetings as the most effective ways of involving the community. Basic information regarding Brownfields sites and the tools available to communities, developers, and not-for-profits to combat the further decline of viable properties will be provided. Carson City uses the following methods to outreach and engage our community:

1. Press releases in the local "Nevada Appeal" and the "Reno Gazette-Journal" newspapers.
2. Direct mailing to residents in the target area
3. Public service announcements on public-access TV and local radio to notice the community.
4. Updates of the project on the City's website, and on the website of our partners (e.g. the Carson City Chamber of Commerce website).
5. Community workshops at key decision points in the process, including a kick-off meeting and a meeting to review and comment on the sites selected as priorities for assessment under the EPA Brownfields grant. Public comments and questions will be addressed at these meetings.
6. Written information on the site selection criteria that will be used to establish the list of priorities for Phase I and Phase II assessments and other project documents will also be made available for the public to comment on. A two-week public comment period will typically be used. Carson City will extend the comment period to a three or four-week comment period to allow additional time for the public to consider a particularly important matter or an

important decision that is before the City that is needed or will benefit from community input.

7. Regular staff updates on the progress being made under the Brownfields grant at City Supervisors' meetings which are televised on public access TV.
8. Written and oral translation services will also be used for indigenous, Spanish-speaking and other community members that may not be proficient in the English language to expand and provide for maximum community participation and involvement.
9. Public questions/comments and City responses will be posted on the website. The City will also have a Frequently Asked Questions section which will address the most common questions/comments.

Some of the community stakeholders include:

- Carson City Chamber of Commerce
- Northern Nevada Development Authority
- Nevada Hispanic Services
- Carson City Convention and Visitors Bureau
- Western Nevada College

#### **b. Task Budget**

##### Hazardous Materials Assessment

Total Cost: \$21,500

Brownfields Grant: \$21,500

##### Petroleum Assessment

Total Cost: \$21,500

Brownfields Grant: \$21,500

#### **c. Schedule**

Task Start Date: 07/31/09      Task Completion Date: Plan to be completed by 12/31/09. Process will be ongoing throughout grant period.

#### **d. Deliverables**

- Press releases
- Direct mailing documents
- Public service announcements
- Website updates (will be documented with paper print)
- Meeting minutes and summaries
- Written documents for public comment
- Fact sheets and outreach materials

## **Task 3 - Conduct Inventory, Site Selection and Site Approval**

### **a. Task Description**

In 2008, Carson City completed an extensive inventory and ranking of candidate sites in both redevelopment areas and identified the following categories of sites for assessment and cleanup planning:

- Paint shops (10 locations)
- Plating shops (17 locations)
- Gasoline stations
- Dry cleaners (5 locations)
- Heating oil tanks
- Open dumps
- Vacant buildings painted with lead and containing asbestos
- The former Carson City Armory (closed in 2002)
- Six acre open area that was the former site of the historical Virginia and Tahoe Railroad (V&T) where locomotives were serviced in a “roundhouse” and maintenance yards were located.

These sites will be reviewed by the environmental consultant and may be amended depending on the findings.

### **b. Task Budget**

Cost: Included in Phase I consulting cost

Brownfields Grant: Included in Phase I consulting cost

### **c. Schedule**

Task Start Date: 11/01/09      Task Completion Date: 06/30/10

### **d. Deliverables**

- Site inventory list
- Plan for selecting sites for further investigation
- Criteria to rank sites
- List of ranked sites
- Site approval forms

## **Task 4 - Quality Assurance**

### **a. Task Description**

A Quality Assurance Project Plan and/or Sampling and Analysis Plan (SAP) and Health and Safety Plan (HSP) must be submitted to EPA. The QAPP or SAP must be approved by EPA **prior** to the start of field sampling.

This task will be completed by the environmental consultant. It will be stated in the Request for Proposal that these documents are required.

### **b. Task Budget**

Cost: Included in consulting fee

Brownfields Grant: Included in consulting fee

### **c. Schedule**

Task Start Date: 07/01/10      Task Completion Date Phase I: 11/30/10, Phase II: 09/30/11

### **d. Deliverables**

- Draft QAPP or SAP
- Final QAPP or SAP

## **Task 5 - Conduct Phase I Activities**

### **a. Task Description**

Preliminary Assessment (Phase I): A Phase I environmental assessment is an initial environmental investigation, which complies with EPA's All Appropriate Inquiries Final Rule that includes a historical records search to determine ownership of a site, previous usage, and possible sources of contamination. A Phase I also includes a site visit, interviews, and in some cases it may include very limited sampling. A Phase I also must be conducted by a Qualified Environmental Professional. If no significant concerns are identified, Phase II assessments may not be necessary.

Once sites are selected for a Phase I Assessment, Phase I Environmental Site Assessments will be conducted. The Environmental Site Assessment will indicate whether hazardous substances or petroleum products exist on each property and whether a Phase II Environmental Site Assessment should be initiated. EPA's "All Appropriate Inquiry" guidelines shall be met.

Carson City's consultant will conduct approximately 16 Phase I Environmental Site Assessments, costing \$3,500 each, depending upon the size and nature of the selected properties. Approximately eight of the Phase I Environmental Site Assessments will be conducted at sites where the primary suspected contaminant is a hazardous substance, and approximately eight assessments at sites where the primary suspected contaminant is petroleum. The cost of a Phase I Environmental Site

Assessment is not dependent upon whether the primary contaminant is petroleum or non-petroleum hazardous substances. In many instances, the nature of the potential contamination at a property won't be known until after the Phase I Assessment is conducted. For this reason, we anticipate splitting the Phase I Assessment costs between the hazardous substances and petroleum budgets.

#### **b. Task Budget**

##### Hazardous Materials Assessment

Total Cost: \$56,000

Brownfields Grant: \$56,000

In-Kind: \$0

##### Petroleum Assessment

Total Cost: \$56,000

Brownfields Grant: \$56,000

In-Kind: \$0

#### **c. Schedule**

Task Start Date: 10/01/10      Task Completion Date: 06/30/11

#### **d. Deliverables:**

- Phase I (AAI) reports
- Updated AAI reports.

#### **Task 6 - Conduct Phase II Activities**

Site Investigations (Phase II): An American Society of Testing and Materials (ASTM) Phase II environmental assessment is an investigation that includes sampling performed at the sites to confirm the location and identity of environmental hazards. The investigation may include a report of recommendations for cleanup alternatives.

Carson City will conduct two to three Phase II Environmental Site Assessments at sites suspected of being contaminated with hazardous substances and two to three Phase II Environmental Site Assessments at sites where petroleum is suspected of being the primary contaminant. The number of assessments conducted will, in part, depend upon the size of the selected properties and the nature and extent of the contamination involved. The sites will be identified as a result of the Phase I Assessment. The cost of each Phase II Assessment is approximately \$16,000. The Phase II Environmental Site Assessments will comply with Nevada Division of Environmental Protection Site Remediation Program Standards and Requirements.

**b. Task Budget**

Hazardous Materials Assessment

Total Cost: \$80,000

Brownfields Grant: \$80,000

Petroleum Assessment

Total Cost: \$80,000

Brownfields Grant: \$80,000

**c. Schedule**

Task Start Date: 01/01/12      Task Completion Date: 03/30/12

**d. Deliverables**

- ASTM Phase II reports

**Task 7 - Cleanup Plans/End Use Planning**

End use planning, cleanup planning and cost estimates for the target sites will be conducted. The result will be up to five reports each for the Hazardous Materials Assessment and the Petroleum Assessment. As part of the site cleanup prioritization process, the City will consult with the Health Department on the results of the Phase II Assessments and the possible connection to local health problems documented in the studies completed by the National Cancer Institute and the U.S. Department of Health and Human Services. Carson City will also work closely with the Nevada Division of Environmental Protection to ensure that the cleanup plans and the end use planning will protect human health and protect the environment.

**b. Task Budget**

Hazardous Materials Assessment

Total Cost: \$30,000 (up to five reports at \$6,000 each)

Brownfields Grant: \$30,000

Petroleum Assessment

Total Cost: \$30,000 (up to five reports at \$6,000 each)

Brownfields Grant: \$30,000

**c. Schedule**

Task Start Date: 10/01/11      Task Completion Date: 03/30/12

**d. Deliverables**

- Cleanup plans
- End-use planning reports and studies

**Task 8 - Reporting Activities**

**a. Task Description**

Regular Reporting: Carson City will comply with reporting requirements in the grant conditions and will also consult with our individual project officers on project specific reporting needs. We will provide regular reports to EPA including Quarterly Progress Reports, MBE/WBE Reports and Annual Financial Status Reports. Quarterly Reports will generally follow the format of the approved workplan. It will include a list, by project task and budget category, of expenses that will be invoiced and/or have been invoiced during the reporting period. The Quarterly Report will also include a description of cumulative expenditures to date by project task and budget category. The quarterly budget summaries will include information on the recipient's cost share. Property Profile Forms (PPF) will be submitted initially with the relevant Quarterly Report. An updated PPF is required each quarter thereafter. Electronic submission of quarterly reports and PPF will be submitted. Carson City will be responsible for inputting project and PPF data into the USEPA Assessment, Cleanup & Redevelopment Exchange System (ACRES) database. This database will be viewed and updated at quarterly, if needed.

Final Summary Report: Carson City will write a final summary report describing the initial goals and objectives of the brownfields grant, accomplishment of the goals and objectives, and any changes implemented. The report will highlight lessons learned and clearly describe future tasks which will be necessary to complete the cleanup and development of the site(s). The report will describe resources leveraged during the project (other than the EPA grant), how they were used, and any resources leveraged to continue the project after the expiration of the brownfields grant. The report will include any supporting assessment documents or summaries not previously provided. The Final Summary Report will also include a final property profile (OMB NO. 2050-0192).

Project Closeout: Carson City will comply with closeout requirements in the Terms and Conditions of the Cooperative Agreement.

**b. Task Budget**

Cost: Part of Contract Cost

Brownfields Grant: Part of Contract Cost

**c. Schedule**

Project Start Date: 07/30/09 Project Completion Date: ongoing throughout grant period. Final end date: June 30, 2012

**d. Deliverables**

Project deliverables due to the Project Officer include:

- Quarterly progress reports
- Property Profile Forms
- Final Summary Report
- Field reports, if applicable

Administrative deliverables due to the Grant Specialist include:

- Annual Financial Status Reports (FSR)
- Final FSR
- MBE/WBE Utilization Reports

**E. Schedule of Milestones & Deliverables**

Project deliverables due to the Project Officer include:

- Quarterly progress reports
- Property Profile Forms
- Final Summary Report
- Field reports

Administrative deliverables due to the Grant Specialist include:

- Annual Financial Status Reports (FSR)
- Final FSR
- MBE/WBE Utilization Reports

<b>Fiscal Year</b>	<b>Quarter</b>	<b>Quarterly Report Due</b>	<b>Milestones and Deliverables Due with Quarterly Report</b>	<b>Status</b>
2009	3 <sup>rd</sup>	July 31 <sup>th</sup>	<ul style="list-style-type: none"> <li>■ Selection of City Project Manager</li> <li>■ Revised Workplan completed</li> </ul>	
2009	4 <sup>th</sup>	Oct. 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Develop Request for Proposal (RFP) Bid Package</li> <li>■ Put RFP out to bid</li> <li>■ Receive and review RFP bids, environmental contract negotiation</li> <li>■ Project Management/Planning</li> <li>■ Select a Contractor to complete Site Assessment Work and Related Documents (RFP/RFQ)</li> </ul>	
2010	1 <sup>st</sup>	Jan 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Complete City Community Outreach Plan</li> </ul>	
2010	2 <sup>nd</sup>	April 30 <sup>th</sup>	<ul style="list-style-type: none"> <li>■ Outreach website construction underway</li> </ul>	
2010	3 <sup>rd</sup>	July 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Inventory, Site Selection, Site Approval</li> </ul>	
2010	4 <sup>th</sup>	Oct. 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Quality Assurance Plan</li> </ul>	
2011	1 <sup>st</sup>	Jan. 30 <sup>th</sup>	<ul style="list-style-type: none"> <li>■ Phase I Underway</li> </ul>	
2011	2 <sup>nd</sup>	April 30 <sup>th</sup>	<ul style="list-style-type: none"> <li>■ Phase I Underway</li> </ul>	
2011	3 <sup>rd</sup>	July 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Phase I Complete</li> <li>■ Property Profile Forms submitted for all sites</li> <li>■ Enter site data into ACRES system</li> </ul>	
2011	4 <sup>th</sup>	Oct. 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Begin QAPP or Sampling and Analysis Plan and Health and Safety Plan</li> </ul>	
2012	1 <sup>st</sup>	Jan. 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Phase II Underway</li> </ul>	
2012	2 <sup>nd</sup>	April 30 <sup>th</sup>	<ul style="list-style-type: none"> <li>■ Phase II Complete</li> <li>■ Cleanup Plans/End Use Planning</li> <li>■ Property Profile Forms submitted for all sites</li> <li>■ Enter site data into ACRES system</li> </ul>	
2012	3 <sup>rd</sup>	July 31 <sup>st</sup>	<ul style="list-style-type: none"> <li>■ Final Reporting Complete</li> <li>■ Enter final site data into ACRES system</li> </ul>	12
Ongoing	--	--	<ul style="list-style-type: none"> <li>■ Community Outreach</li> <li>■ Reporting</li> <li>■ Project Management by City</li> </ul>	

**F. Budget Summary**

**Hazardous Materials Budget**

	<b>Task 1 Project Management</b>	<b>Task 2 Community Outreach</b>	<b>Task 3 Inventory (incl. in contract fee)</b>	<b>Task 4 QA (incl. in contract fee)</b>	<b>Task 5 Phase I</b>	<b>Task 6 Phase II</b>	<b>Task 7 Cleanup and End use Plans</b>	<b>Task 8 Reporting (incl. in contract fee)</b>	<b>Total</b>
Personnel	\$3,000	\$4,500	\$0	\$0	\$0	\$0	\$0	\$0	\$7,500
Fringe	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$5,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$5,000
Supplies	\$0	\$2,000	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000
Equipment*	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Contractual	\$4,500	\$15,000	\$0	\$0	\$56,000	\$80,000	\$30,000	\$0	\$185,500
Construction	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Indirect	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grant Total- EPA	\$12,500	\$21,500	\$0	\$0	\$56,000	\$80,000	\$30,000	\$0	\$200,000

**Petroleum Budget**

	<b>Task 1 Project Management</b>	<b>Task 2 Community Outreach</b>	<b>Task 3 Inventory (incl. in contract fee)</b>	<b>Task 4 QA (incl. in contract fee)</b>	<b>Task 5 Phase I</b>	<b>Task 6 Phase II</b>	<b>Task 7 Cleanup and End use Plans</b>	<b>Task 8 Reporting (incl. in contract fee)</b>	<b>Total</b>
Personnel	\$3,000	\$4,500	\$0	\$0	\$0	\$0	\$0	\$0	\$7,500
Fringe	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$5,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$5,000
Supplies	\$0	\$2,000	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000
Equipment*	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Contractual	\$4,500	\$15,000	\$0	\$0	\$56,000	\$80,000	\$30,000	\$0	\$185,500
Construction	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Indirect	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grant Total- EPA	\$12,500	\$21,500	\$0	\$0	\$56,000	\$80,000	\$30,000	\$0	\$200,000

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

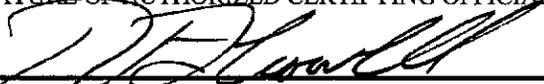
**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- |  |   |
|--|---|
| <ol style="list-style-type: none"> <li>1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.</li> <li>2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.</li> <li>3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.</li> <li>4. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.</li> <li>5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).</li> <li>6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the</li> </ol> | <ol style="list-style-type: none"> <li>(d) the Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.</li> <li>7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.</li> <li>8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.</li> </ol> |
|--|---|

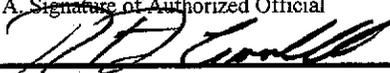
<p>9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. 276a to 276a-7), the Copeland Act (40 U.S.C. 276c and 18 U.S.C. 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333), regarding labor standards for federally-assisted construction subagreement.</p> <p>10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.</p> <p>11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in flood plains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).</p>		<p>12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. 1271 et seq.) Related to protecting components or potential components of the national wild and scenic rivers system.</p> <p>13. Will assist the awarding agency in assuring compliance will Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).</p> <p>14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.</p> <p>15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) Pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.</p> <p>16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4801 et seq.) Which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.</p> <p>17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."</p> <p>18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.</p>
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION Carson City	DATE SUBMITTED July 8, 2009

United States Environmental Protection Agency  
Washington, DC 20460

**Preward Compliance Review Report for  
All Applicants and Recipients Requesting EPA Financial Assistance**

**Note: Read instructions on other side before completing form.**

I. Applicant/Recipient (Name, Address, State, Zip Code). City of Carson City, 201 North Carson St. #2, Carson City, NV 89701		DUNS No. 073787152
II. Is the applicant currently receiving EPA assistance? No		
III. List all civil rights lawsuits and administrative complaints pending against the applicant/recipient that allege discrimination based on race, color, national origin, sex, age, or disability. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.) None		
IV. List all civil rights lawsuits and administrative complaints decided against the applicant/recipient within the last year that allege discrimination based on race, color, national origin, sex, age, or disability and enclose a copy of all decisions. Please describe all corrective action taken. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.) None		
V. List all civil rights compliance reviews of the applicant/recipient conducted by any agency within the last two years and enclose a copy of the review and any decisions, orders, or agreements based on the review. Please describe any corrective action taken. (40 C.F.R. § 7.80(c)(3)) None		
VI. Is the applicant requesting EPA assistance for new construction? If no, proceed to VII; if yes, answer (a) and/or (b) below. No a. If the grant is for new construction, will all new facilities or alterations to existing facilities be designed and constructed to be readily accessible to and usable by persons with disabilities? If yes, proceed to VII; if no, proceed to VI(b). <input type="checkbox"/> Yes <input type="checkbox"/> No b. If the grant is for new construction and the new facilities or alterations to existing facilities will not be readily accessible to and usable by persons with disabilities, explain how a regulatory exception (40 C.F.R. § 7.70) applies.		
VII.* Does the applicant/recipient provide initial and continuing notice that it does not discriminate on the basis of race, color, national origin, sex, age, or disability in its programs or activities? (40 C.F.R. § 5.140 and § 7.95) Yes a. Do the methods of notice accommodate those with impaired vision or hearing? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No b. Is the notice posted in a prominent place in the applicant's offices or facilities or, for education programs and activities, in appropriate periodicals and other written communications? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No c. Does the notice identify a designated civil rights coordinator? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
VIII.* Does the applicant/recipient maintain demographic data on the race, color, national origin, sex, age, or handicap of the population it serves? (40 C.F.R. § 7.85(a)) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
IX.* Does the applicant/recipient have a policy/procedure for providing access to services for persons with limited English proficiency? (40 C.F.R. Part 7, E.O. 13166) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
X.* If the applicant/recipient is an education program or activity, or has 15 or more employees, has it designated an employee to coordinate its compliance with 40 C.F.R. Parts 5 and 7? Provide the name, title, position, mailing address, e-mail address, fax number, and telephone number of the designated coordinator. Human Resources, 201 N. Carson St., Carson City, NV 89701, 775-887-2000 Jennifer Schultz <sup>Contact:</sup>		
XI.* If the applicant/recipient is an education program or activity, or has 15 or more employees, has it adopted grievance procedures that assure the prompt and fair resolution of complaints that allege a violation of 40 C.F.R. Parts 5 and 7? Provide a legal citation or Internet address for, or a copy of, the procedures. Human Resources, 201 N. Carson St., 775-887-2000. For the Applicant/Recipient <a href="http://www.carson-city.nv.us/index.aspx?">www.carson-city.nv.us/index.aspx?</a> Page = 2033		
I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law. I assure that I will fully comply with all applicable civil rights statutes and EPA regulations.		
A. Signature of Authorized Official 	B. Title of Authorized Official Mayor	C. Date 7/7/09
<b>For the U.S. Environmental Protection Agency</b>		
I have reviewed the information provided by the applicant/recipient and hereby certify that the applicant/recipient has submitted all preaward compliance information required by 40 C.F.R. Parts 5 and 7; that based on the information submitted, this application satisfies the preaward provisions of 40 C.F.R. Parts 5 and 7; and that the applicant has given assurance that it will fully comply with all applicable civil rights statutes and EPA regulations.		
A. Signature of Authorized EPA Official	B. Title of Authorized EPA Official	C. Date
See ** note on reverse side.		

09-429  
EPA Project Control Number

## **CERTIFICATION REGARDING LOBBYING**

### **CERTIFICATION FOR CONTRACTS, GRANTS, LOANS AND COOPERATIVE AGREEMENTS**

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31 U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Robert L. Crowell, Mayor

\_\_\_\_\_  
Typed Name & Title of Authorized Representative

  
\_\_\_\_\_  
Signature and Date of Authorized Representative